

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Applicant: SAMUEL KEITH MCDOWELL

Serial No. 09/432,917

Filed: 11-02-99

Title: SYSTEM AND METHOD FOR PROVIDING INTERACTIVE AUDIO IN
A MULTI-CHANNEL AUDIO ENVIRONMENT

Group Art Unit: 2772

TECH CENTER 2700

SEP 15 2000

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Assistant Commissioner for Patents
Washington, D.C. 20231**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE
MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE
(37 C.F.R. § 1.97(c))**

Sir:

Pursuant to 37 C.F.R. §§1.56 and 1.97(c), applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, which ever event occurred last but *before* the mailing date of either:

- (1) a final action under § 1.113; or
- (2) a notice of allowance under § 1.311,

which ever occurs first.

Accompanying this transmittal is:

- (1) the fee set forth in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under § 1.97 (c);
- (2) Form PTO 1449; and
- (3) copies of the listed references.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

9-11-00

Date

Marianne Middleton

Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration of relevant portions thereof by making appropriate notations on the attached form.

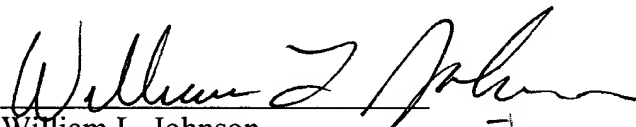
The submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If any additional fees are due, please charge Deposit Account 11-1580.

Respectfully,

September 11, 2000


William L. Johnson
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